

**AMENDMENT TRANSMITTAL LETTER (Small Entity)**

Applicant(s): Steven B. Smith et al.

Docket No.

9311.17

Serial No.

09/870,142

Filing Date

May 30, 2001

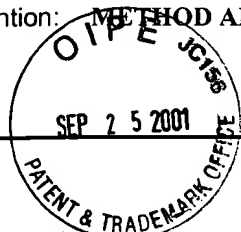
Examiner

not assigned

Group Art Unit

2161

Invention: METHOD AND SYSTEM FOR MANAGING SPENDING THROUGH ACCOUNT ALLOCATION

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

Transmitted herewith is an amendment in the above-identified application.

- ☒ Small Entity status of this application has been established under 37 CFR 1.27 by a verified statement previously submitted.
- ☐ A verified statement to establish Small Entity status under 37 FR 1.27 is enclosed.

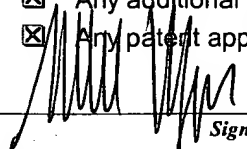
The fee has been calculated and is transmitted as shown below.

**CLAIMS AS AMENDED**

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	21 -	21 =	0 x	\$9.00	\$0.00
INDEP. CLAIMS	4 -	4 =	0 x	\$40.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$0.00

- ☒ No additional fee is required for amendment.
- ☐ Please charge Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_  
A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0843  
A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 C.F.R. 1.16.
- ☒ Any patent application processing fees under 37 CFR 1.17.

Dated: Sept. 21, 2001

  
Signature  
Michael F. Krieger  
Attorney for Applicant  
Registration No. 35,232  
KIRTON & McCONKIE  
60 East South Temple, Suite 1800  
Salt Lake City, Utah 84111  
Telephone: (801) 321-4814  
Facsimile: (801) 321-4893

I certify that this document and fee is being deposited on Sept. 21, 2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Signature of Person Mailing Correspondence

Michael F. Krieger

Typed or Printed Name of Person Mailing Correspondence

CC:



PATENT APPLICATION  
Docket No.: 9311.17

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: )  
 )  
Steven B. Smith et al. )  
 )  
Serial No.: 09/870,142 ) Art Unit:  
 ) 2161  
Filed: May 30, 2001 )  
 )  
For: METHOD AND SYSTEM FOR MANAGING )  
SPENDING THROUGH ACCOUNT ALLOCATION )

PRELIMINARY AMENDMENT UNDER 37 C.F.R. §1.112

BOX: AMENDMENT --No Fee  
Assistant Commissioner for Patents  
Washington, D. C. 20231

Sir:

On page 2 please amend the above-referenced application as shown in the replacement paragraphs below.

### **RELATED INFORMATION**

This application claims priority to United States Patent Application Serial No. 09/829,364 filed 6 April 2001, entitled *Methods and Systems for Job-Based Accounting*, which claims priority to both United States Provisional Application Serial No. 60/226,187, filed 18 August 2000 entitled *Methods and Systems for Job-Based Accounting*, to Steven B. Smith et al. and priority to U.S. Provisional Application No. 60/198,961 filed April 21, 2000, entitled *Method and System for Managing Spending Through Account Allocation*, to Steven B. Smith et al., hereby incorporated by reference.

### **BACKGROUND OF THE INVENTION**

The present invention relates generally to computer-based money management systems for users and, more particularly, to the management of financial resources through the allocation of resources to defined accounts in an automated, computer-based system.

Financial accounting is well-known to those skilled in the art. Accountants have been used by individuals and businesses to manage financial resources over the years. The development of the personal computer for every day use has allowed the simplification of personal and business accounting by a user. Such financial accounting programs include Quicken® by INTUIT, of Menlo Park, California, and Money® by Microsoft, of Redmond, Washington. These programs are well-known and provide the every day user with simple yet robust accounting means for tracking one's financial resources.